

FORM PTO-1390 (REV 1-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 3673-3
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/600,594	
INTERNATIONAL APPLICATION NO. PCT/BR99/00096	INTERNATIONAL FILING DATE 23 November 1999	PRIORITY DATE CLAIMED 23 November 1998	
TITLE OF INVENTION MONITORING PATIENT COMPLIANCE AND BIOAVAILABILITY OF DRUGS BY DEPOTEINIZING BODY FLUIDS			
APPLICANT(S) FOR DO/EO/US FERREIRA et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
Items 11. To 16. Below concern document(s) or information included:			
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information. SMALL ENTITY CLAIM AND REFUND REQUEST</p>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.37)
09/600,594INTERNATIONAL APPLICATION NO.
PCT/BR99/00096ATTORNEY'S DOCKET NUMBER
3673-317. The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00
- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$840.00
- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$690.00
- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$670.00
- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21	-21 = 0	X	\$18.00	\$ 0.00
Independent Claims	3	-3 = 0	X	\$78.00	0.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$260.00		\$ 0.00
TOTAL OF ABOVE CALCULATIONS =					\$ 0.00

Reduction by ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).	0.00
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SUBTOTAL =	\$ 0.00
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Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).	+ 0.00
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TOTAL NATIONAL FEE =	\$ 0.00
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Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property	+ \$ 40.00
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Fee for Petition to Revive Unintentionally Abandoned Application (\$1210.00 – Small Entity = \$605.00)	\$ 0.00
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TOTAL FEES ENCLOSED =	\$ 40.00
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Amount to be: refunded	\$
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Charged	\$
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- a. A check in the amount of \$40.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 14-1140 in the amount of \$ _____ to cover the above fees. A duplicate copy of this form is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- d. The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.



SIGNATURE

SEND ALL CORRESPONDENCE TO:

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Michelle N. Lester
NAME

32,331	September 7, 2000
REGISTRATION NUMBER	Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

FERREIRA et al.

Atty. Ref.: **3673-3**

Serial No. **09/600,594**

Group: **unknown**

Filed: **July 19, 2000**

Examiner: **unknown**

For: **MONITORING PATIENT COMPLIANCE AND
BIOAVAILABILITY OF DRUGS BY DEPOTEINIZING
BODY FLUIDS**

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SMALL ENTITY CLAIM AND REFUND REQUEST

September 7, 2000

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

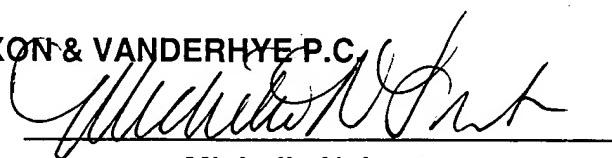
Please accept the Small Entity Verified Statement attached hereto and refund one-half of the fee paid on July 19, 2000 in the above-identified case by crediting \$559.00 to our Account No. 14-1140 under Order No. 3673-3.

When this is approved, please return a copy of this request marked "approved" directly to the undersigned for which purposes this request is submitted in triplicate.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



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Reg. No. 32,331

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